



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/489,515	01/21/2000	Surya Prakash	06618-408001	5938

20985 7590 02/23/2007
FISH & RICHARDSON, PC
P.O. BOX 1022
MINNEAPOLIS, MN 55440-1022

EXAMINER

MERCADO, JULIAN A

ART UNIT	PAPER NUMBER
----------	--------------

1745

SHORTENED STATUTORY PERIOD OF RESPONSE	MAIL DATE	DELIVERY MODE
2 MONTHS	02/23/2007	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

If NO period for reply is specified above, the maximum statutory period will apply and will expire 6 MONTHS from the mailing date of this communication.



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450
www.uspto.gov

**BEFORE THE BOARD OF PATENT APPEALS
AND INTERFERENCES**

Application Number: 09/489,515
Filing Date: January 21, 2000
Appellant(s): PRAKASH ET AL.

**MAILED
FEB 23 2007
GROUP 1700**

Scott C. Harris
For Appellant

EXAMINER'S ANSWER

This is in response to the appeal brief filed November 13, 2006 appealing from the Office action mailed April 4, 2006.

(1) Real Party in Interest

A statement identifying by name the real party in interest is contained in the brief.

(2) Related Appeals and Interferences

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

(3) Status of Claims

The statement of the status of claims contained in the brief is incorrect. A correct statement of the status of the claims is as follows:

This appeal involves claims 19-22, 24-27 and 29-33. It appears to the examiner that the statement of the status of the claims contained in the brief incorrectly listed claims 29-33 as "20 9-33".

(4) Status of Amendments After Final

The appellant's statement of the status of amendments after final rejection contained in the brief is correct.

(5) Summary of Claimed Subject Matter

Summaries of claimed subject matter are submitted for claim 19, claim 26 and claim 27.

For claim 27, the summary of claimed subject matter contained in the brief is correct.

Art Unit: 1745

For claims 19 and 26, the summary of claimed subject matter contained in the brief is deficient. 37 CFR 41.37(c)(1)(v) requires the summary of claimed subject matter to include: (1) a concise explanation of the subject matter defined in each of the independent claims involved in the appeal, referring to the specification by page and line number, and to the drawing, if any, by reference characters and (2) for each independent claim involved in the appeal and for each dependent claim argued separately, every means plus function and step plus function as permitted by 35 U.S.C. 112, sixth paragraph, must be identified and the structure, material, or acts described in the specification as corresponding to each claimed function must be set forth with reference to the specification by page and line number, and to the drawing, if any, by reference characters. The brief is deficient for claims 19 and 26 for the following reasons:

1) Claim 19 does not define a catalyst ink with a catalytic material having a liquid copolymer of tetrafluoroethylene and perfluorovinyl sulfonic acid. Instead, it is asserted that claim 19 defines a process for making a membrane electrode assembly for a fuel cell comprising, *inter alia*, a catalyst ink of catalytic material and polyvinylidene fluoride.

2) Claim 26 does not define a catalyst ink with polyvinylidene fluoride and a liquid copolymer. Instead, it is asserted that claim 26 defines a fuel cell comprising a membrane electrode assembly, the membrane electrode assembly being made by a process including providing a catalyst ink of a catalytic material and polyvinylidene fluoride.

(6) Grounds of Rejection to be Reviewed on Appeal

The appellant's statement of the grounds of rejection to be reviewed on appeal is substantially correct. The changes are as follows:

Art Unit: 1745

Whether claim 26 is unpatentable under 35 U.S.C. 102(e) or 103(a) based on Prakash et al. It appears to the examiner that the statement for claim 26 omitted the portion of the rejection made under 35 U.S.C. 102(e).

(7) Claims Appendix

The copy of the appealed claims contained in the Appendix to the brief is correct.

(8) Evidence Relied Upon

No evidence is relied upon by the examiner in the rejection of the claims under appeal.

(9) Grounds of Rejection

The following ground(s) of rejection are applicable to the appealed claims:

Claim 26 is rejected under 35 U.S.C. 102(e) as anticipated by or, in the alternative, under 35 U.S.C. 103(a) as obvious over Prakash et al. (U.S. Pat. 6,444,343 B1).

Claims 19, 20, 25-27, 32 and 33 are rejected under 35 U.S.C. 103(a) as being unpatentable over Grot et al. (U.S. Pat. 5,919,583, hereinafter Grot) in view of Fleisher et al. (U.S. Pat. 5,643,689) and Kindler (U.S. Pat. 5,992,008).

Claims 21, 22, 29 and 30 are rejected under 35 U.S.C. 103(a) as being unpatentable over Grot et al. in view of Fleisher et al. and Kindler, and further in view of Cabasso et al. (U.S. Pat. 5,783,325).

Art Unit: 1745

Claims 24 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Grot et al. in view of Fleisher et al. and Kindler, and further in view of Lawrance et al. (U.S. Pat. 4,272,353).

(10) Response to Argument

Response to the 35 U.S.C. § 102(e) arguments for the rejection of claim 26 based on Prakash:

Appellant's arguments have been fully considered, however they are not found persuasive for the following reasons:

The rejection of claim 26 is based on a product-by process interpretation thereof based on Prakash. Appellant cites *In re Thorpe*, *Verdegaal Bros. v. Union Oil Co. of California* and *In re Garnero* as applicable case law. *Viz.*, for product-by-process claims, "determination of patentability is based on the product itself", a product-by-process claim "is anticipated only if each and every element as set forth in the claim is found, either expressly or inherently described, in a single prior art reference", and "the structure implied by the process steps should be considered when assessing the patentability of product-by-process claims." (emphasis as submitted) In light of the cited case law, appellant submits that as the process steps indicate that the catalyst ink contains PVDF, this structure must be considered in assessing the patentability of the claimed subject matter.

In reply, the examiner asserts that the structure implied by the catalyst ink containing PVDF has been given its fullest consideration. As to Prakash's catalyst ink not containing PVDF, it is asserted that the fuel cell taught by Prakash is the same as that claimed by appellant insofar as being a fuel cell comprising a catalyzed membrane electrode assembly with a

Art Unit: 1745

PSSA/PVDF membrane. See the abstract and col. 3 line 17 et seq. The structure implied by the process step of “providing a catalyst ink comprising a catalytic material and poly(vinylidene fluoride)...” is catalytic material on a membrane. A membrane electrode assembly is a sandwich structure of a first electrode backing layer, a catalyst, a membrane, a catalyst and a second electrode backing layer. See col. 5 line 38 et seq. Thus, the membrane electrode assembly of Prakash is readable on the implied structure (by way of the process steps) of catalytic material on a membrane. In making this assertion, the examiner submits that the structure implied by the process steps has been considered for patentability of the pending claims, but ultimately the structure implied by these process steps is deemed taught or at least suggested by Prakash.

As a final note, the catalyst ink itself is dried, leaving behind its solid substituents on the membrane. See page 6 lines 4-5 of the specification. It is the examiner’s position that this condition further supports the structure implied by the process claims as merely being catalytic material on a PSSA/PVDF membrane.

Response to the 35 U.S.C. § 103(a) arguments for the rejection of claim 26 based on Prakash:

At the outset, the examiner notes that the pending rejection based on Prakash is more precisely set forth under 35 U.S.C. 102(e) **OR** 35 U.S.C. 103(a). The extent to which a 35 U.S.C. 103(a) rejection is made based on Prakash is solely to the extent that the claimed product appears to be the same or similar to the prior art product, i.e. the claimed product comprises PVDF, while the prior art product also comprises PVDF. Furthermore, it was asserted that in the event that any differences can be shown by the product of the product-by-process claims, such differences would have been obvious to the skilled artisan as a routine modification

Art Unit: 1745

of the product absent of a showing of unexpected results. *In re Thorpe*, 227 USPQ 964 (Fed. Cir. 1985). In this respect, the examiner notes that no differences have been shown during prosecution or with the present appeal brief to patentably favor the product-by-process claim.

Appellant's arguments have been fully considered, however they are not found persuasive for the following reasons:

In the present brief appellant submits that Prakash's use of PVDF as an inert matrix in a membrane does not suggest the use of PVDF as an ionomer in a catalyst ink because the respective reasons for doing so are entirely different. Appellant then submits that Prakash provides no motivation to use PVDF in the catalyst ink.

In reply, and as noted in a prior Office action, the examiner concedes that there is *no modification* whatsoever proposed for in the teachings of Prakash, with such modification requiring motivation. In the opinion of the examiner, to what extent Prakash and appellant's use of PVDF may be different, co-extensive or, for that matter, similar is irrelevant to the analysis of the claim in terms of the structure implied by the process steps.

Appellant's assertion of differences deemed "not insubstantial" is noted. Appellant submits that fuel cells having a catalyst ink containing PVDF (as in the present invention) have "improved interfacial bonding characteristics, which improves the electrical performance and reduces the impedance compared to membrane electrode assemblies having conventional inks that do not contain PVDF, i.e. the catalyst ink used by Prakash." (appeal brief, paragraph bridging pages 7-8) Appellant then cites page 2 lines 22-25 of the specification. In reply, and upon further review of the cited portion of the specification and related sections, the examiner cannot conclude that the catalyst ink used by Prakash falls under appellant's characterization of

Art Unit: 1745

“conventional *inks*,” as the specification merely sets forth a broad comparison of performance “with MEAs prepared by conventional *processes*.” (emphasis added) See the specification on page 8. Though the specification touts alleged improvements of the claimed invention, at the same time the specification is entirely silent on the structural parameters of any comparative examples as a standard of measure. If by conventional *processes* the alleged improvements in interfacial bonding and electrical performance are a result of “[i]mproving the melt-flow characteristics of the polymer membrane during the hot pressing process [to enhance] the interfacial bonding” (see page 6 of the specification), the examiner asserts that these process features are outside the scope of the present product-by-process claims. Notwithstanding, it is noted that Prakash similarly teaches “improved electrode membrane interface characteristics...” See col. 7 line 46 et seq.

With respect to the assertion that PVDF has a low intrinsic permeability to methanol, thus lowering methanol crossover, in reply the examiner maintains that the fuel cell taught by Prakash in terms of these alleged differences is the same as that claimed by applicant insofar as the prior art fuel cell also exhibits “decreasing methanol crossover rates...” which is deemed equal to the alleged “low intrinsic permeability to methanol” of the claimed invention. See col. 3 line 33 et seq.

Response to the 35 U.S.C. § 103(a) arguments for the rejection of claims 19, 20, 25-27, 32 and 33 based on Grot et al., Fleisher et al. and Kindler:

A reiteration of the rejection is herein provided. For claims 19, 20 and 26 (the independent claims), Grot et al. teaches a Pt catalyst ink material for a fuel cell, with a binder

Art Unit: 1745

disclosed as being preferably the same polymer as in the membrane. See col. 8 line 41 et seq. When combined with Fleisher et al. who teach a membrane having a polyvinylidene fluoride, i.e. PVDF polymer, the skilled artisan would find obvious without undue experimentation to employ the same polymer as a binder so as to remain consistent with Grot et al.'s disclosure. Employing a catalyst ink comprising the same polymer as in the membrane would be an obvious modification to the skilled artisan in order to enhance the bonding of the catalyst ink to the membrane, e.g. the well-known "like dissolves like" approach taught in a first-year college chemistry course.

Grot et al. does not explicitly teach a PSSA-PVDF membrane. However, Fleisher et al. teaches a matrix polymer, such as polyvinylidene fluoride (PVDF), together with a second polymer such as sulfonated polystyrenes (PSSA). See col. 7 line 39-45 and col. 8 line 40-62. The membrane from the combined teachings is readable on the claimed PSSA-PVDF membrane. The skilled artisan would find obvious to employ this membrane in Grot et al.'s invention for reasons such as "(i) to improve the electrical contact between the non-liquid proton conductor membrane and the electrodes of the electrochemical system to obtain good conductivity... and (ii) to provide an electrochemical system having a proton conductor which by nature does not leach out." See Fleisher et al. in col. 4 line 64 to col. 5 line 3.

Appellant's arguments have been fully considered, however they are not found persuasive for the following reasons:

Appellant firstly directs arguments to Fleisher, which is the secondary reference.

With respect to Fleisher, appellant submits that as the instant specification states that PSSA-PVDF do not swell significantly in water, the Office action has improperly concluded a

Art Unit: 1745

motivation for employing a PSSA-PVDF membrane. Fleisher is said to teach a solvent such as water, which results in swelling of the membrane and thereby enhancing the electrical contact in the electrochemical cell. See the appeal brief, first full paragraph on page 9. In comparison, appellant submits on page 9 of the specification that the instant specification clearly states that the PSSA-PVDF membrane does not swell significantly in water. (no citation in support of this feature was provided by appellant)

In reply, and as set forth in the August 4, 2006 Advisory action, the examiner asserts that any arguments drawn to what applicant's specification may disclose, i.e. to what extent the membrane does not swell significantly in water, are not considered relevant to the claims, which are not found commensurate in scope and are in fact entirely silent on any property, structure or feature related to swelling of the membrane. Furthermore, the extent to which the instant membrane does not swell significantly is unclear—the scope of the relative term “swell significantly” is not defined. It also appears to the examiner that the entire specification mentions PSSA/PVDF not swelling significantly upon hydration only once, on page 5 line 20.

Notwithstanding the foregoing, even if a PSSA-PVDF can be characterized to not swell significantly, the membrane nonetheless *still swells*—enough swelling in fact for Fleisher to specifically disclose that the “membrane *swells* and as a result [of this *swelling*] the electrical contact between the anode plate and/or the cathode plate and the membrane, improves.” Emphasis added, see Fleisher in col. 2 lines 31-34.

Appellant secondly directs arguments to the combination of Grot (the primary reference) and Fleisher, citing that if the proposed modification or combination of the cited reference would change the basic principle of operation of the cited reference being modified, then the teachings

Art Unit: 1745

of the reference are not sufficient to render the claims *prima facie* obvious. *In re Ratti*. In reply, and following the guidance of this case law, the examiner asserts there is in fact *no change* in the prior art's basic principle of operation. On page 10 of the appeal brief, appellant submits that

[a]ccording to the principle of operation of Grot, an inorganic filler is required to fill the interstices of a polymer membrane to slow or block organic fuels such as methanol from diffusing through a membrane. Grot, col. 10, lines 47-51.

In comparison thereto, on page 10 of the appeal brief, appellant submits that

According to the principle of operation of the claimed subject matter, PSSA-PVDF membranes alone, or in combination with a catalyst ink containing PVDF, result in decreased methanol crossover rates due to the low intrinsic permeability of PVDF to methanol.

Thus, by appellant's own characterization, Grot's teaching of "*to slow or block organic fuels such as methanol*" is *no different* from the principle of operation of the claimed subject matter resulting in "*decreased methanol crossover rates*"; in the opinion of the examiner, Grot and appellant are actually solving the same problem, and Grot's teaching of an inorganic filler would actually further enhance the desired lower rate of methanol crossover. (emphasis added)

The examiner notes that arguments submitted for Kindler (the tertiary reference) merely assert that this reference fails to remedy alleged differences of the primary and secondary references, maintained *supra*.

Response to the 35 U.S.C. § 103(a) arguments for the rejection of 21, 22, 29 and 30 based on Grot et al., Fleisher et al., Kindler and Cabasso et al.

The examiner notes that arguments submitted for Cabasso et al. (a quaternary reference) merely assert that this reference fails to remedy alleged differences of the primary, secondary and tertiary references, maintained *supra*.

Art Unit: 1745

Response to the 35 U.S.C. § 103(a) arguments for the rejection of 24 and 31 based on Grot et al.,
Fleisher et al., Kindler and Lawrance et al.

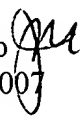
The examiner notes that arguments submitted for Lawrance et al. (a quaternary reference) merely assert that this reference fails to remedy alleged differences of the primary, secondary and tertiary references, maintained *supra*.

(11) Related Proceeding(s) Appendix


No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner's answer.

For the above reasons, it is believed that the rejections should be sustained.


Respectfully submitted,

Julian Mercado 
February 16, 2007

Conferees:

Patrick Ryan 

William Krynski 


PATRICK JOSEPH RYAN
SUPERVISORY PATENT EXAMINER